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**REGISTERED PATENT AGENTS

November 24, 1999

WRITER'S DIRECT NUMBER:
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INTERNET ADDRESS:
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Assistant Commissioner for Patents
Washington, D.C. 20231

Box Patent Application

Re: U.S. Non-Provisional Utility Patent Application under 37 C.F.R. § 1.53(b)
Appl. No. To Be Assigned; Filed: Herewith
For: **Death Domain Containing Receptor-4 Antibodies (As Amended)**
Inventors: NI *et al.*
Our Ref: 1488.1300004/EKS/EJH

Sir:

The following documents are forwarded herewith for appropriate action by the U.S.
Patent and Trademark Office:

1. PTO Utility Patent Application Transmittal Form (PTO/SB/05);
2. U.S. Utility Patent Application entitled:

Death Domain Containing Receptor-4 Antibodies (As Amended)

and naming as inventors:

NI, Jian
ROSEN, Craig A.
PAN, James G.
GENTZ, Reiner L.
DIXIT, Vishva M.

the application consisting of:

11/24/99

658 U.S. PTO

Assistant Commissioner for Patents

November 24, 1999

Page 2

- a. A specification containing:
 - (i) 63 pages of description prior to the claims, including a sequence listing on pages 47 to 63;
 - (ii) 8 pages of claims (21 claims);
 - (iii) a one (1) page abstract;
 - b. 8 sheets of drawings: (Figures 1A, 1B, 2A, 2B, 3, 4A, 4B, 5A, 5B, 5C, 6A & 6B);
 - c. Substitute Sequence Listing (pages 1-16);
 - d. A substitute computer readable disk copy of the Substitute Sequence Listing;
 - e. A copy of the executed Declaration, as filed in U.S. Appl. No. 09/013,895;
 - f. Preliminary Amendment with attachments:
 - Exhibit A - *Gibco BRL Products and Reference Guide, 2000-2001*;
 - Exhibit B - Ausubel *et al.*, *Current Protocols in Molecular Biology*;
 - Exhibit C - Abstract of Chou and Roizman, *J. Virol.* 57:629-637;
 - Exhibit D - Abstract of Mauri *et al.*, *Immunity* 8:21-30;
 - g. Our check No. 26047 for \$1,594.00 to cover:
 - \$760.00 - filing fee for patent application;
 - \$834.00 - fee for excess claims;
3. PTO Fee Transmittal Form PTO/SB/17 (*in duplicate*);
 4. Authorization to Treat a Reply As Incorporating An Extension of Time Under 37 C.F.R. § 1.136(a)(3) (*in duplicate*); and
 5. Two (2) return postcards.

In accordance with 37 C.F.R. § 1.821(f), the paper copy of the substitute sequence listing and the computer readable copy of the sequence listing submitted herewith are the same.

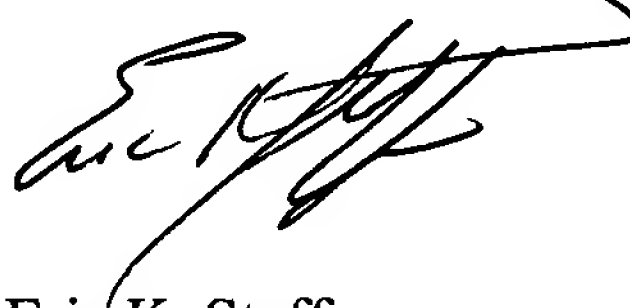
Assistant Commissioner for Patents
November 24, 1999
Page 3

It is respectfully requested that, of the two attached postcards, one be stamped with the filing date of these documents and returned to our courier, and the other, prepaid postcard, be stamped with the filing date and unofficial application number and returned as soon as possible.

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036. A duplicate copy of this letter is enclosed.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.



Eric K. Steffe
Attorney for Applicants
Registration No. 36,688

EKS/EJH/cmg
Enclosures

P:\USERS\BHAANES\Work Products\1488\130\1300004\Divisional-tml

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

NI *et al.*

Appl. No. To Be Assigned

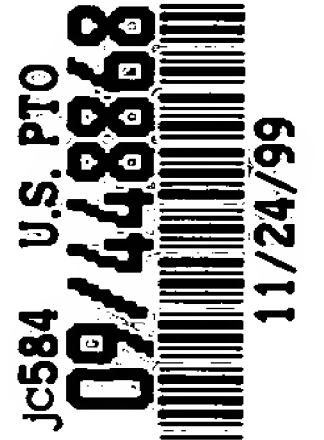
Filed: Herewith

For: **Death Domain Containing
Receptor 4 Antibodies (As
Amended)**

Art Unit: To Be Assigned

Examiner: To Be Assigned

Atty. Docket: 1488.1300004/EKS/EJH



**Authorization To Treat A Reply As Incorporating An Extension Of Time
Under 37 C.F.R. § 1.136(a)(3)**

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

The U.S. Patent and Trademark Office is hereby authorized to treat any concurrent or future reply that requires a petition for an extension of time under this paragraph for its timely submission, as incorporating a petition for extension of time for the appropriate length of time. The U.S. Patent and Trademark Office is hereby authorized to charge all required extension of time fees to our Deposit Account No. 19-0036, if such fees are not otherwise provided for in such reply. A duplicate copy of this authorization is enclosed.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

A handwritten signature in black ink, appearing to read "Eric K. Steffe".

Eric K. Steffe
Attorney for Applicants
Registration No. 36,688

Date: November 24, 1999
1100 New York Avenue, N.W.
Suite 600
Washington, D.C. 20005-3934
(202) 371-2600

Please type a sign(+) inside this box



PTO/SB/05 (2/98)

App. use through 09/30/2000. OMB 0651-0032
Patent and Trademark U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no person is required to respond to a collection of information unless it displays a valid OMB control number.

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR § 1.53(b))

Attorney Docket No. 1488.1300004/EKS/EJH
First Inventor or Application Identifier NI et al.
Title Death Domain Containing Receptor-4 Antibodies (As Amended)
Express Mail Label No.

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

- ☒ * Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original, and a duplicate for fee processing)
- ☒ Specification [Total Pages 72]
(preferred arrangement set forth below)
 - Descriptive title of the Invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to Microfiche Appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
- ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 8]
- ☒ Oath or Declaration [Total Pages 4]
 - ☐ Newly executed (original or copy)
 - ☒ Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 17 completed)
[Note Box 5 below]
 - ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR §§ 1.63(d)(2) and 1.33(b).
- ☒ Incorporation By Reference (useable if Box 4b is checked)
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

ACCOMPANYING APPLICATION PARTS

- ☐ Assignment Papers (cover sheet & document(s))
- ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney
(when there is an assignee)
- ☐ English Translation Document (if applicable)
- ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
- ☒ Preliminary Amendment
- ☒ Return Receipt Postcards (2) (MPEP 503)
(Should be specifically itemized)
- ☐ *Small Entity Statement(s) ☐ Statement filed in prior
(PTO/SB/09-12) application, Status still proper
and desired
- ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
- ☒ Other: 37 C.F.R. § 1.136(a)(3) Authorization
☒ Other Substitute Sequence Listing (pp. 1-16); Substitute Computer
Readable Disk;
*NOTE FOR ITEMS 1 & 14: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A
SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF ONE FILED IN A
PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:

☐ Continuation ☒ Divisional ☐ Continuation-in-Part (CIP) of prior application No: 09/013,895
Prior application information: Examiner Kaufman, C. Group/Art Unit: 1646

18. CORRESPONDENCE ADDRESS

☐ Customer Number
or Bar Code Label

(Insert Customer No. or Attach bar code label here)

or ☒ Correspondence
address below

NAME	STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.				
	Attorneys at Law				
ADDRESS	Suite 600, 1100 New York Avenue, N.W.				
CITY	Washington	STATE	DC	ZIP CODE	20005-3934
COUNTRY	USA	TELEPHONE	(202) 371-2600	FAX	(202) 371-2540

NAME (Print/Type)	Eric K. Steffe	Registration No. (Attorney/Agent)	36,688
SIGNATURE		Date	November 24, 1999

Burden Hour Statement: this form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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for FY 1999

Patent fees are subject to annual revision.
Small Entity payments must be supported by a small entity statement,
otherwise large entity fees must be paid. See Forms PTO/SB/09-12.
See 37 C.F.R. §§ 1.27 and 1.28.

Complete if Known

Application Number	To Be Assigned
Filing Date	Herewith
First Named Inventor	NI <i>et al.</i>
Examiner Name	To Be Assigned
Group / Art Unit	To Be Assigned
Attorney Docket Number	1488.1300004/EKS/EJH

TOTAL AMOUNT OF PAYMENT	(\$1,594.00)
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METHOD OF PAYMENT (check one)

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayment to:

Deposit Account Number	19-0036
Deposit Account Name	Sterne, Kessler, Goldstein & Fox P.L.L.C.

- ☒ Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17

2. ☒ Payment Enclosed: ☒ Check No. 26047

☐ Money Order ☒ Other*

*Charge any deficiencies or credit any overpayments in the fees or fee calculations of Parts 1, 2 and 3 below to Deposit Account No. 19-0036.

FEE CALCULATION

1. BASIC FILING FEE

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
101	760	201	380	Utility filing fee	<u>760.00</u>
106	310	206	155	Design filing fee	<u> </u>
107	480	207	240	Plant filing fee	<u> </u>
108	760	208	380	Reissue filing fee	<u> </u>
114	150	214	75	Provisional filing fee	<u> </u>

SUBTOTAL (1) (\$ 760.00

2. EXTRA CLAIM FEES

2. EXTRA CLAIM FEES		Extra	Fee from below	Fee Paid
Total Claims	<u>62</u> - 20** = <u>42</u>	X	<u>18</u>	= <u>756.00</u>
Indep. Claims	<u>4</u> - 3** = <u>1</u>	X	<u>78</u>	= <u>78.00</u>
Multiple Dependent				=

**** or number previously paid, if greater; For Reissues, see below**

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	78	202	39	Independent claims in excess of 3
104	260	204	130	Multiple dependent claim
108	78	209	39	**Reissue independent claims over original patent
110	18	210	9	**Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$) 834.00

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	380	216	190	Extension for reply within second month	
117	870	217	435	Extension for reply within third month	
118	1,360	218	680	Extension for reply within fourth month	
128	1,850	228	925	Extension for reply within fifth month	
119	300	219	150	Notice of Appeal	
120	300	220	150	Filing a brief in support of an appeal	
121	260	221	130	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,210	241	605	Petition to revive - unintentional	
142	1,210	242	605	Utility issue fee (or reissue)	
143	430	243	215	Design issue fee	
144	580	244	290	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	240	126	240	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	760	246	380	Filing a submission after final rejection (37 CFR 1.129(a))	
149	760	249	380	For each additional invention to be examined (37 CFR 1.129(b))	

Other fee (specify) :

Other fee (specify) :

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)	(\$)
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SUBMITTED BY

**Typed or
Printed Name**

Eric K. Steffe

Signature

Date _____

November 24, 1999

Complete (if applicable)**Req. Number**

36.688

Deposit Acct. User ID

19-0036

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Fee Transmittal